# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

September 2021

Commission File Number: 001-38723

**Tiziana Life Sciences plc** 

(Exact Name of Registrant as Specified in Its Charter)

3<sup>rd</sup> Floor, 11-12 St James's Square London SW1Y 4LB United Kingdom (Address of registrant's principal executive office)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.

Form 20-F ⊠ Form 40-F □

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): 🗆

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

## INFORMATION CONTAINED IN THIS REPORT ON FORM 6-K

On September 28, 2021, Tiziana Life Sciences plc (the "<u>Company</u>") issued a regulatory news service announcement in the United Kingdom announcing a PDMR Dealing (the "<u>RNS Announcement</u>").

The RNS Announcement is furnished herewith as Exhibit 99.1 to this Report on Form 6-K. The information in the attached Exhibit 99.1 is being furnished and shall not be deemed "filed" for the purposes of Section 18 of the Securities Exchange Act of 1934, or otherwise subject to the liabilities of that Section, nor shall it be deemed incorporated by reference in any filing made by the Company under the Securities Act of 1933, as amended, or the Securities Exchange Act of 1934, except as otherwise set forth herein or as shall be expressly set forth by specific reference in such a filing.

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

## TIZIANA LIFE SCIENCES PLC

By: /s/ Kunwar Shailubhai

Name: Kunwar Shailubhai Title: Chief Executive Officer

2

Date: September 28, 2021

## EXHIBIT INDEX

Exhibit No.	Description
99.1	Regulatory News Service Announcement, dated September 28, 2021
	3

## **PDMR Dealing**

London, New York, 28 September 2021 - Tiziana Life Sciences plc (Nasdaq: TLSA / AIM: TILS) ("**Tiziana**" or the "**Company**"), a biotechnology company focused on innovative therapeutics for oncology, inflammation and infectious diseases, today announces that it has been notified that Panetta Partners Limited, an entity in which Gabriele Cerrone, the Executive Chairman has a beneficial interest, purchased 100,000 ordinary shares of 3p each in the market at a price of 52p per share.

The acquisition takes Mr Cerrone's interests from 34.078% to 34.129% (within the 1% incremental threshold under Note 11 to Rule 9 of the UK Takeover Code).

1.	Details of PDMR / person closely associated		
a)	Name	Gabriele Cerrone	
2.	Reason for the notification		
a)	Position / status	Chairman	
		Initial notification	
	/amendment		
3.	Details of the issuer		
a)	Name	Tiziana Life Sciences plc	
b)	LEI	213800CED47HI8PIOB36	
4. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type		tion(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place	
	where transactions have been conducted		
-	-	Ordinary Shares of 3p each	
	financial instrument		
-	Identification code of ISIN for Tiziana Life Sciences plc: GB00BKWNZY55		
	the Financial		
	Instrument		
c)	Nature of the	Market Purchase	
	transaction		
d)		52p	
	volume(s)	100,000	
f)	Date of the	27 September 2021	
	transaction		
g)	Place of the	XLON	
	transaction		

## **About Tiziana Life Sciences**

Tiziana Life Sciences plc is a dual listed (NASDAQ:TLSA, UK LSE: TILS) biotechnology company that focuses on the discovery and development of novel molecules to treat human diseases in oncology, inflammation and infectious diseases. In addition to Milciclib, the Company will be shortly initiating Phase 2 studies with orally administered Foralumab for Crohn's Disease and nasally administered Foralumab for progressive multiple sclerosis. Foralumab is the only fully human anti-CD3 monoclonal antibody ("mAb") in clinical development in the world. This Phase 2 compound has potential application in a wide range of autoimmune and inflammatory diseases, such as Crohn's Disease, multiple sclerosis, type-1 diabetes ("T1D"), inflammatory bowel disease ("IBD"), psoriasis and rheumatoid arthritis, where modulation of a T-cell response is desirable. The Company is accelerating development of anti-Interleukin 6 receptor ("IL6R") mAb, a fully human monoclonal antibody for treatment of IL6-induced inflammation, especially for treatment of COVID-19 patients.

#### For further enquiries:

For further enquiries:

Tiziana Life Sciences plc Gabriele Cerrone, Chairman, and founder +44 (0)20 7495 2379

United States: Investors: Dave Gentry, CEO RedChip Companies Inc. 407-491-4498 dave@redchip.com