# UNITED STATES

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					
FORM 6-K					
REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934					
October 2020					
Commission File Number: 0001723069					
Tiziana Life Sciences plc (Exact Name of Registrant as Specified in Its Charter)					
3 <sup>rd</sup> Floor, 11-12 St James's Square London SW1Y 4LB United Kingdom					
(Address of registrant's principal executive office)					
Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.					
Form 20-F ⊠ Form 40-F □					
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): $\Box$					
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): $\Box$					

## INFORMATION CONTAINED IN THIS REPORT ON FORM 6-K

On October 29, 2020, Tiziana Life Sciences plc (the "<u>Company</u>") issued a regulatory news service announcement in the United Kingdom announcing the Exercise of options, Issue of Equity PDMR Dealing and Total Voting Rights (the "<u>RNS Announcement</u>").

The RNS Announcement is furnished herewith as Exhibit 99.1 to this Report on Form 6-K. The information in the attached Exhibit 99.1 is being furnished and shall not be deemed "filed" for the purposes of Section 18 of the Securities Exchange Act of 1934, or otherwise subject to the liabilities of that Section, nor shall it be deemed incorporated by reference in any filing made by the Company under the Securities Act of 1933, as amended, or the Securities Exchange Act of 1934, except as otherwise set forth herein or as shall be expressly set forth by specific reference in such a filing.

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: October 29, 2020

# TIZIANA LIFE SCIENCES PLC

By: /s/ Kunwar Shailubhai

Name: Kunwar Shailubhai Title: Chief Executive Officer

# EXHIBIT INDEX

Exhibit No.	Description			
99.1	Regulatory News Service Announcement, dated October 29 2020			
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#### **Tiziana Life Sciences PLC**

("Tiziana" or "the Company")

## Exercise of options, Issue of Equity PDMR Dealing and Total Voting Rights

London, New York, 29 October 2020 - Tiziana Life Sciences plc (Nasdaq: TLSA / AIM: TILS) ("**Tiziana**" or the "**Company**"), a biotechnology company focused on innovative therapeutics for oncology, inflammation and infectious diseases, today announces that it has allotted and issued 426,500 ordinary shares of 3 pence each ("**New Ordinary Shares**") credited as fully paid at a price of 35 pence per share in respect of the exercise of 426,500 options with an exercise price of 35 pence held by option holders, including 400,000 held by Dr Kunwar Shailubhai, Chief Executive Officer and Chief Scientific Officer of the Company; 20,000 held by Vaseem Palejwala, Director of Clinical Operations of the Company and 3,500 held by Jules Jacob, Senior Director, CMC & Non-Clinical Development of the Company.

Application will be made for the 426,500 New Ordinary Shares to be admitted to trading on AIM ("Admission"), and dealings are expected to commence on or around 4 November 2020. The New Ordinary Shares will rank pari passu with the Company's existing Ordinary Shares.

1.	Details of PDMR / person closely associated				
a) Name (i) Dr Kunwar Shailubhai					
	(ii) Vaseem Palejwala				
		(iii) Jules Jacob			
2.	Reason for the notification				
a)	Position / status	(i) CEO and CSO			
(ii) Director, Clinical Operations (non-board member)		(ii) Director, Clinical Operations (non-board member)			
		(iii) Senior Director, CMC & Non-Clinical Development (non-board member)			
b)	Initial notification /amendment	Initial notification			
3.	Details of the issuer				
a)	Name	Tiziana Life Sciences plc			
b)	LEI	213800CED47HI8PIOB36			

4.	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted				
a)	Description of the financial instrument	Ordinary Shares of 3p each			
b)	Identification code of the Financial Instrument	ISIN for Tiziana Life Sciences plc: GB00BKWNZY55			
c)	Nature of the transaction	Exercise of options			
d)	Price(s) and volume(s)	Price (i) Dr Kunwar Shailubhai	Volume		
		35p	400,000		
		(ii) Vaseem Palejwala			
		35p	20,000		
		(iii) Jules Jacob			
		35p	3,500		
f)	Date of the transaction	28 October 2020			
e)	Aggregated information				
	- Aggregated volume	426,500			
	- Price	35p			
g)	Place of the transaction	XLON			

## **Total Voting Rights**

In conformity with DTR 5.6.1, the Company notifies that as at the date of this announcement, it has a single class of shares in issue being Ordinary Shares and that following the issue of the New Ordinary Shares to be issued in connection with the conversion of his loan notes, the total number of Ordinary Shares in issue will be 194,612,289. There are no Ordinary Shares held in treasury. Each Ordinary Share entitles the holder to a single vote at general meetings of the Company.

The figure of 194,612,289 Ordinary Shares may be used by shareholders (and others with notification obligations) as the denominator for the calculations by which they will determine whether they are required to notify their interest in, or a change to their interest in, the Company under the Financial Conduct Authority's Disclosure Guidance and Transparency Rules.

#### **About Tiziana Life Sciences**

Tiziana Life Sciences plc is a dual listed (NASDAQ: TLSA & UK AIMS: TILS) biotechnology company that focuses on the discovery and development of novel molecules to treat human diseases in oncology, inflammation and infectious diseases. In addition to milciclib, the Company will be shortly initiating phase 2 studies with orally administered foralumab for Crohn's Disease and nasally administered foralumab for progressive multiple sclerosis. Foralumab is the only fully human anti-CD3 monoclonal antibody (mAb) in clinical development in the world. This phase II compound has potential application in a wide range of autoimmune and inflammatory diseases, such as Crohn's Disease, multiple sclerosis, type-1 diabetes (T1D), inflammatory bowel disease (IBD), psoriasis and rheumatoid arthritis, where modulation of a T-cell response is desirable. The company is accelerating development of anti-Interleukin 6 receptor (IL6R) mAb, a fully human monoclonal antibody for treatment of IL6-induced inflammation, especially for treatment of COVID-19 patients.

This announcement contains inside information for the purposes of Article 7 of EU Regulation 596/2014. The person who arranged the release of this information is Keeren Shah, Finance Director of Tiziana.

## For further enquiries:

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